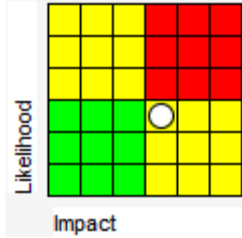
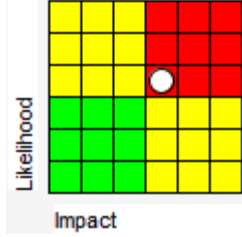


## Strategic Risk Register October 2012 to January 2013

Code	Title	Description	Current Risk Matrix	Impact	Likelihood	Managed By	Latest Note
12 - SR1	Risk of significant reduction in funding above that planned for, in particular localisation of Council Tax Support and localisation of business rates.	There is uncertainty around future funding, both from Government and other areas such as income from commodities markets for recycled materials. There are cost pressures combined with an increased awareness and scrutiny of financial position.		3	3	Adele Taylor	October 2012 to January 2013: The local government funding settlement for 13/14 has been analysed and impacts included in the MTFP. Future funding remains uncertain.
12 - SR2	Risk of a loss of capacity / capability and flexibility to deliver service levels we would like.	There are challenges around workforce planning to ensure the Council is fit for the future, in terms of workforce skills, capacity and flexibility.		4	3	Adele Taylor	October 2012 to January 2013: No change in status. Following peer challenge review, work has been identified that needs to be completed around workforce planning and organisational development. Will be developed throughout 13/14

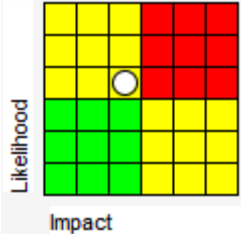
## Essential Reference Paper 'B'

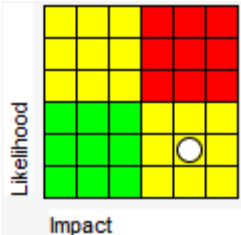
Code	Title	Description	Current Risk Matrix	Impact	Likelihood	Managed By	Latest Note
12 - SR3	Risk that supplier / contractor or key third sector partner fails or fails to deliver.	A number of key external and internal services are delivered through major contracts, both directly and in consortia. This is both through private sector supply chains and in conjunction with the voluntary and third sector.		3	2	George A Robertson	October 2012 to January 2013: Some issues with performance of IT systems, suppliers and business applications, but no other significant service delivery or financial concerns.

Code	Title	Description	Current Risk Matrix	Impact	Likelihood	Managed By	Latest Note
12 - SR4	Risk that investment and effort does not deliver benefits and returns in Shared Services	Moving more towards shared services with other public sector partners. Potential for lack of consistent political buy-in by all partners resulting in considerable effort without benefit. There is also a challenging skill set for managers due to the complexity.		3	3	Adele Taylor	October 2012 to January 2013: Detailed business case being delayed following the withdrawal of one of the three parties. Training and support will have to form part of the plan to deliver a shared service.

Code	Title	Description	Current Risk Matrix	Impact	Likelihood	Managed By	Latest Note
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## Essential Reference Paper 'B'

12 - SR5	There is uncertainty on overall future government policy and a number of changes required without accompanying resource.	Risk of being unable to long term strategically plan.		2	3	Simon Drinkwater	October 2012 to January 2013: Risk on target. Risks arising from changes in government policy are identified and reported to Corporate Management Team. The reduction in Council Tax benefit and the introduction of universal credit are issues which are currently being considered. Extra resources and training have been provided. Members and CMT are considering what additional resources are necessary at a corporate level. The community infrastructure levy will be considered by the District Plan Panel in February 2013. The Council has responded to the changes in planning resulting from the introduction of the new framework and other changes arising from the Localism Act.
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Code	Title	Description	Current Risk Matrix	Impact	Likelihood	Managed By	Latest Note
12 - SR6	Risk that SMG does not implement Council policies in a coherent and consistent way.	There could be a lack of consistency and cohesion at senior management levels of implementing decisions.		4	1	Simon Drinkwater	October 2012 to January 2013: Corporate Management Team meets fortnightly. Part of the role of CMT is to ensure consistency in implementing decisions. Directors discuss the implementation of decisions with Heads of Service and other

## Essential Reference Paper 'B'

							managers to ensure consistency of approach. Departmental Management Team meetings convey details of decisions to relevant staff. Directors are responsible for ensuring that decisions are implemented correctly. Following the Peer Challenge, the Council has prepared an action plan which it will implement. The process should improve the consistency and cohesion of decision making.
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Code	Title	Description	Current Risk Matrix	Impact	Likelihood	Managed By	Latest Note
12 - SR7	Availability and performance of IT systems and resources impacting on service delivery.	Reduced levels of service across the Authority. Targets may not be achieved. Staff morale and reputation of Council may suffer. Influence of ITSG should reduce risks		4	4	Adele Taylor	October 2012 to January 2013: Continued monitoring of the service through ITSG. Future business case for a shared service being developed.

Code	Title	Description	Current Risk Matrix	Impact	Likelihood	Managed By	Latest Note
12 - SR8	Data Protection: Failure to comply with the data protection principles. The potential disclosure of personal data inappropriately.	Action may be taken by the ICO. Individuals may suffer if their personal data, particularly sensitive personal data is disclosed.		3	3	George A Robertson	October 2012 to January 2013: Data Protection risk assessments by Heads of Service to be monitored through service planning process. Concern still regarding adequacy of local understanding of risks and local service training.

**Essential Reference Paper 'B'**

							<p>Management of historic data remains a concern. E-learning courses are now available.</p> <p>Planned mitigating actions: New post of Data Protection Compliance Officer created.</p>
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